



2163

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : J. Michael Sposito
FOR : AUTOMATIC STOCK SELL/STOP MONITOR
SERIAL NO. : PROCESS
FILED : 09/758/094
LAST OFFICE ACTION : January 10, 2001
EXAMINER : Unknown
GROUP ART UNIT : 2163
ATTORNEY DOCKET NO. : 0903.0003

#2/IDS
RECEIVED
APR 16 2001
Technology Center 2100

Akron, OH 44308-1136
April 9, 2001

CERTIFICATE OF MAILING

I hereby certify that this **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56 and §1.97** is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on this 9 day of April, 2001.

By Janet E. Sigler
Janet E. Sigler

Commissioner of Patents
and Trademarks
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56 and §1.97

Dear Sir:

In accordance with 37 C.F.R. § 1.56 the applicant files this Information Disclosure Statement (IDS) under at least one of the following five circumstances:

1. **No Information to disclose:** applicant has no information to disclose at this time.
2. **Under § 1.97(i):** this IDS is filed with the knowledge that it will NOT be considered.
 - this IDS is filed BEFORE the grant of a patent; AND
 - this IDS does NOT comply with the requirements noted below
 - applicant notes that the information disclosed herein WILL be placed in the file
3. **Under § 1.97(b):** this IDS should be considered because it is being filed
 - within 3 months of the filing date of a national application OR
 - within 3 months of the date of entry of the national stage of an international appl. OR
 - before the mailing date of a first Office Action on the merits, whichever occurs last.
4. **Under § 1.97(c):** this IDS should be considered because it is being filed
 - after the period specified Under § 1.97(b) above but BEFORE either
 - the mailing date of a final action under § 1.113 OR
 - the mailing date of a notice of allowance under § 1.311, whichever occurs last,
 - AND is accompanied by either:

A) _____ one of the following statements under § 1.97(e):

I, Daniel A. Thomson, the undersigned hereby state:

(1) _____ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, OR

(2) _____ To the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than 3 months prior to the filing of this statement; OR

B) _____ A check in the amount of \$240.00 is enclosed to cover the Information Disclosure Statement (IDS) Fee under 37 C.F.R. § 1.17(p) as required when neither item (1) nor (2) above are selected.

5. **Under § 1.97(d):** this IDS should be considered because it is being filed
 - after the period specified Under § 1.97(c) above but
 - ON OR BEFORE payment of the issue fee,
 - and is accompanied by:

A) _____ one of the following statements under § 1.97(e):

I, Daniel A. Thomson, the undersigned hereby state:

(1) _____ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, OR

(2) _____ To the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than 3 months prior to the filing of this statement; AND

B) _____ a petition requesting consideration of the IDS; AND

C) _____ A check in the amount of \$ 130.00 is enclosed for the petition fee as set forth under 37 C.F.R. § 1.17(i).

In accordance with § 1.56 and § 1.97 the references listed below and on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of these cited documents are enclosed.

English-Language Documents

| Patentee | Patent No. | Issue Date |
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Foreign Patent Documents in English

| Country | Patent No. | Issue Date |
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Foreign-Language Documents

| Country | Patent No. | Issue Date |
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 X Please charge deposit account No. 05-0875 if any additional fees are required.

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO-1449 with initials or other appropriate marks.

Respectfully submitted,

EMERSON & SKERIOTIS

April 9, 2001
Date

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(330) 535-9999

Q1P/E
APR 12 2001

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE

(Rev. 2-32)

PATENT AND TRADEMARK OFFICE

AUTOMATIC STOCK SELL/STOP MONITOR PROCESS

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(Use several sheets if necessary)

Sheet 1 of 1

ATTY. DOCKET NO.

0903.0003

SERIAL NO.

09 758 094

APPLICANT

J. Michael Sposito

FILING DATE

1/10/01

GROUP

2163

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | | | | DATE | NAME | CLASS | SUB-CLASS | FILING DATE IF APPROPRIATE |
|------------------|-----------------|--|--|--|------|------|-------|-----------|----------------------------|
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION YES NO |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED